[CONTRIBUTION FROM THE NOVES CHEMICAL LABORATORY, UNIVERSITY OF ILLINOIS]

The Infrared Absorption Spectra of Cyclic β -Ketoesters

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The infrared absorption spectra of a number of closely related cyclic β -ketoesters have been observed. In the case of those compounds related to cyclohexanone-2-carboxylic ester in which enolization can occur absorption bands have been found at 1656-1660 cm.⁻¹ and 1618-1624 cm.⁻¹ in addition to the absorption attributable to the ester carbonyl (1734-1745 cm.⁻¹) and the ketone carbonyl (1717-1720 cm.⁻¹). The additional bands are considered to be due, respectively, to carbonyl and to carbon-carbon musturation in the conjugated chelate enolic form. Analogous but weaker bands were found for compounds similarly related to cyclopentanone-2-carboxylic ester, indicating a lower degree of enolization for the five-membered ring analogs. Also, some of the ester and keto C==O vibrational frequencies differ for correspondingly substituted cyclopentanone and cyclohexanone homologs. The influence of ring strain on bond hybridization provides a basis to account for the differences.

Although there has been some examination of the infrared absorption spectra of acyclic β -ketoesters¹ no detailed characterization of the cyclic β -ketoesters has been reported. The infrared spectra of such compounds are of interest particularly in relation to the influence of ring size and substituents upon the degrees of enolization and chelation, and also upon the keto and ester C==O vibrational frequencies. The infrared absorption bands we have observed in the 6 and 3μ regions for variously substituted β -ketoesters related to cyclohexanone (II through IX)² and cyclopentanone (IIa, IVa, VIIa)² are listed in Table I along with the bond-stretching³ frequency assignments.



Cyclohexanones.—The non-enolizable β -ketoesters of cyclohexanone have two absorption bands in the 6μ (double bond) region while the enolizable derivatives have usually four, and sometimes apparently three. These absorption bands lie in the ranges 1734–1741 cm.⁻¹, 1717–1720 cm.⁻¹, 1653–1660 cm.⁻¹ and 1615–1624 cm.⁻¹.

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selected as a model non-enolizable ketoester, and the strong bands in its spectrum at 1736 and 1718 cm. $^{-1}$ suggest that in all of the cyclohexanone derivatives the 1734–1741 cm. $^{-1}$ absorption is that of the ester C==O and the 1717-1720 cm.⁻¹ the keto C=0. These assignments follow those for the closely analogous, non-enolizable acyclic β -ketoester, CH₃COC(CH₃)₂COOEt, for which the ester C=O band is reported¹ at 1742 cm.⁻¹ and the keto C=O at 1718 cm.⁻¹. Also, the keto C==O frequency in cyclohexanone has been observed⁵ at 1714 cm.⁻¹, and in alkyl substituted cyclohexanones⁶ at 1695-1720 cm.⁻¹. Moreover, the generally accepted non-conjugated ester C==0 frequency range is 1725-1755 cm.^{-1,7,8} or more specifically for acetates and propionates, 1735-1740 cm.⁻¹ ⁹ and the reported frequency range for unconjugated keto C=O is 1695-1725 cm.-1.7.8.10 Conclusive support of the ester and keto C==O assignments in Table I is provided by the increased intensity, in those compounds containing two or more ester C=O groups, of the absorption in the 1734–1741 cm.⁻¹ range relative to that at 1717-1720 cm.⁻¹

In addition to absorption in the normal ester and keto C==O regions, each of the enolizable β -ketoesters has absorption bands in the 1656–1660 cm.⁻¹ and 1615–1624 cm.⁻¹ regions. A typical case is ethyl cyclohexanone-2-carboxylate (II) which has somewhat weaker ester and keto C==O bands than the non-enolizable 4-carboxylate (I) and, in addition, a strong broad absorption band at 1656 cm.⁻¹ and a sharper one at 1618 cm.⁻¹, assigned, respectively, to conjugated chelate C==O and conjugated C==C in the enolic form XI.



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(9) H. W. Thompson and P. Torkington, J. Chem. Soc., 640 (1945).
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TABLE I

Infrared Absorption Bands in the 6 and 3μ Regions^a

No.	Six-membered ring compounds	Ester C=0	Ketone C == 0	conju- gated C=0	Conju- gated C=C	overtone or combination
I	Ethyl cycloh e xanone-4-carboxylate ⁴	1736 vs	1718 vs			3440 w
II	Ethyl cyclohexanone-2-carboxylate ^{2^f}	1744 s–m	1718 s-m	16 56 s,br	1618 s	
III	Ethyl 5-methylcyclohexanone-2-carboxylate ^{2h}	1745 s–m	1718 s–m	1656 s,br	1618 s	
IV	Diethyl cyclohexanone-2,6-dicarboxylate ^{2ⁱ}	1738 vs,br		1660 s,br	1621 s	3450 w
V	Diethyl cyclohexanone-6-acetate-2-carboxylate ²	1736 vs,br	1718 vw(?)	1653 w	1615 w	3445 vw
VI	Diethyl cyclohexanone-2-carboxylate-6-\$-propionate24	1734 vs,br		1656 vw	1624 w	3445 m–w
VII	Diethyl cyclohexanone-2-acetate-2-carboxylate2 ³	1736 vs	1718 s			3445 m–w
VIII	Diethyl cyclohexanone-2-carboxylate-2- β -propionate ²⁴	1736 vs	1717 s			3440 m–w
IX	Triethyl cyclohexanone-2-carboxylate-2,6-di-β-					
	propionate ²¹	1735 vs	1718 m			3445 vw
X	Ethyl 1-ethyl-4-piperidone-3-carboxylate ²⁴	1741 s-m	1720 s-m	1660 s,br	1624 s	
No,	Five-membered ring compounds	EtOOC- C=0	Ketone and EtOOC(CH ₂) ₂ - C=0	Chelated conju- gated C=0	Conju gated C=C	C=0 overtone or combination
IIa	Ethyl cyclopentanone-2-carboxylate ^{2^{f,g}}	1756 vs	1725 vs	1660 w	1618 w	34 4 0 w
IVa	Diethyl cyclopentanone-2.5-dicarboxylate ²ⁱ	1763 s	1736 vs.br	1671 m	1625 w	3440 w
VIa	Diethyl cyclopentanone-2-carboxylate-5-β- propionate ^{2b}	1751 m	1733 v s,vbr	1666 w	1624 vw	3445 m–w
VIIIa	Diethyl cyclopentanone-2-carboxylate-2-β- propionate ^{2^b}	1755 w(?)	1736 vs,vbr			3445 w

vs, very strong; s, strong; s-m, strong to medium; m, medium; m-w, medium to weak; w, weak; vw, very weak; br, broad. ^a Tabulated frequencies are in cm.⁻¹.

The assignment of the absorption in the 1656-1660 cm.⁻¹ region to conjugated chelate C==0 follows Rasmussen and Brattain¹ who found the ester C=O frequency in salicylic esters and enolized β -ketoesters displaced to near 1667 cm.⁻¹. They also found their β -ketoesters to have ester C==O vibrational frequencies corresponding to the nonenolized as well as the chelate form. Thus, in ethyl acetoacetate and ethyl methylacetoacetate absorption maxima were found at $1730 \text{ cm}.^{-1}$, a normal ester C==O, and at 1650 cm.⁻¹, the conju-gate chelate C==O. Conjugation alone displaces the ester C==O to about 1720 cm.^{-1 1} and the keto C=O to about 1667-1695 cm.⁻¹.¹⁰ Specifically, the keto C==O frequency is displaced by conjuga-tion to 1686 cm.⁻¹ in 1-acetyl-2-methyl- Δ^1 -cyclohexene¹¹ and to 1666-1670 cm.⁻¹ in steroids of the type Δ^{16} -one-20.¹² Chelation alone has been found¹³ to decrease the ester C==O frequencies by 45-75 cm,-1 in several aromatic systems. Accordingly, for the cyclic β -ketoesters a combination of conjugation and chelation appears to be the most reasonable interpretation of the large displacements to 1656-1660 cm.⁻¹ of what otherwise would be a normal ester C = O absorption band.

By elimination, the absorption observed in the 1615–1624 cm.⁻¹ region is assigned to the conjugated C==C in the chelate enol form (XI). No report appears to have been made previously of the C==C stretching frequency to be expected in enolized β -ketoesters, but in α,β -unsaturated ketones an absorption band assigned to the conjugated C==C is found generally in the 1621–1647 cm.⁻¹ region¹⁰ and at 1605 cm.⁻¹ for 5,5-dimethyl-1,3cyclohexanedione (enol).¹⁰ A band at about 1616

(11) R. B. Turner and D. M. Voitle, ibid., 73, 1403 (1951).

(12) R. N. Jones, P. Humphries, E. Packard and K. Dobriner, *ibid.*, **72**, 86 (1950).

(13) I. M. Hunsberger, ibid., 72, 5626 (1950).

cm.⁻¹ appears in the infrared absorption spectrum of 1-acetyl-2-methyl- Δ^1 -cyclohexene, reported by Turner and Voitle,¹¹ and we suggest it be assigned to the conjugated C==C in the molecule.

The weak absorption bands observed at about 3445 cm.⁻¹ for most of the compounds are in the 3μ OH region.^{7.8} However, the character and position of these bands, as well as their appearance for all of the non-enolizable compounds, demonstrate they are not due to OH in, say, a non-chelated enol form. Instead the most likely assignment is as an overtone or combination of the ester and/or the keto C==O vibrational frequency. A counterpart to the weak broad absorption reported near 2700 cm. $^{-1}$ in conjugated enolized β -diketones and attributed¹⁰ to the chelated OH vibration was not found in the spectra of the β -ketoesters. It is inferred that the enol present occurs primarily as the chelated form (XI) in which the hydroxyl OH is perturbed beyond recognition.

The data in Table I show that all of the cyclic β -ketoesters with α -hydrogen (II–VI, X) form the chelated enol to a greater or less degree, while those in which the α -hydrogen is replaced by an alkylenecarboxylate residue (VII-IX) do not. The change in structure from ethyl cyclohexanone-4carboxylate (I) to the isomeric 2-carboxylate (II) not only permits formation of the chelated enol, but also raises the ester C=O frequency in the nonenolic form from 1736 to 1744 cm.-1. This agrees with the observation of Grove and Willis14 that two carboxyl groups will interact and produce small increases in their vibrational frequencies when they are in 1,2-, 1,3- or 1,4-positions. Methyl substitution at C_5 in ethyl cyclohexanone-2-carboxylate (II) to form III leaves the $\theta\mu$ region unaffected. The substitution of C₂H₅-N for C₃ in II as with ethyl 1-ethyl-4-piperidone-3-carboxylate^{2d} (X) also

4071

(14) J. F. Grove and H. A. Willis, J. Chem. Soc., 877 (1951).

has virtually no effect; the very small shifts observed may be real and if so are attributable to inductive and ring strain differences.^{5,14}

The disappearance of the 1718 cm.⁻¹ keto C==O absorption band in the diethyl cyclohexanone-2,6dicarboxylate (IV) suggests that this molecule resides almost exclusively in the chelated forms (XII). This assumption seems reasonable as the intensities of the 6µ absorption bands of the monoester (II) indicate it to be about half-conjugated enol, and in the diester the statistical probability of enolization is twice as great. The presence of alkylenecarboxylate residues at C_6 in II (V, VI) weakened the bands assigned to the chelated forms. This appears to be a variety of steric effect since the ethyl propionate group (V) reduces the extent of chelation more than does the ethylacetate (VI). Presumably inter- as well as intramolecular effects are involved, particularly as the ester C=O absorption regions in V and VI are broadened toward longer wave lengths, with the keto C=O no longer resolvable.

Cyclopentanones.—The major features of the spectra of the five-membered ring β -ketoesters are analogous to those of the corresponding six-membered ring compounds (Table I). However, some informative differences exist.

The ethyl carboxylate C==O vibrational frequencies are about 15 cm.⁻¹ higher in the cyclopentanones (IIa, IVa, VIa, VIIIa) than in the corresponding cyclohexanones (II, IV, VI, VIII); also, the keto C==O vibrational frequencies are about 10 cm.⁻¹ higher in the cyclopentanones, although still 10–20 cm.⁻¹ lower than the 1744 cm.⁻¹ band in cyclopentanone itself.⁵ On the other hand, the 1717–1720 cm.⁻¹ keto C==O absorption bands in the substituted cyclohexanones (I–X) are essentially undisplaced from the 1714 cm.⁻¹ band in cyclohexanone itself.⁵ To consider a particular case, we infer that for ethyl cyclopentanone-2-carboxylate (IIa) the 19 cm.⁻¹ decrease in the keto C==O frequency, compared to cyclopentanone, is associated with the 12 cm.⁻¹ increase in the ester C==O trequency, compared to the cyclohexanone homolog (II).

An explanation of these displacements can be based on electronic effects associated with ring strain in the cyclopentanones. It has been pointed out¹⁵ that differences in the C==O vibrational frequency are related to the polarity of the C==O bond and the corresponding differing amounts of double-bond and ionic character, structures XIII and XIV. Greater polarity or ionic character



(XIV) reduces the C==O vibrational frequency.¹⁵ In unstrained ketones the C==O bond is, to a given degree, a π -bond formed by p^2 hybridization. The R₁-C-R₂ angle in R₁R₂C==O is determined by *sp*hybridization and is near 120°; however, in the cyclopentanone this angle is constrained to a con-

siderably smaller value. Pure p-bonds are at angles of 90°, so that the ring strain increases the *p*-character of the C–R bonds, and at the same time transfers some *s*-character to the C = O bond. The electron density at the carbon end of the C=O bond is increased by the s-character, and thereby the bond polarity decreased and the vibrational frequency increased. When the ethyl carboxylate is substituted in the cyclopentanone, a similar interaction can be invoked to raise the ester C=O vibrational frequency and reduce the ring strain. However, the effect is attenuated by the carbon atom between the oxygen and the ring. The relief of the ring strain by the ester C=O of course partially reduces the original effect of the strain on the keto C=0.

The 6μ absorption of the diesters is complicated somewhat by the second ester C=O. In VIa and VIIIa, the ethyl β -propionate is removed sufficiently from the ring for the ester C=O vibrational frequency to be near the normal 1735 cm.⁻¹. It combines apparently with the ring keto C=O near 1725 cm.⁻¹ to give the observed strong unresolved absorption. Something similar occurs in the case of diethyl cyclopentanone-2,5-dicarboxylate (IVa); however, the effects of the two ethyl carboxylate groups appear to be antagonistic, with the result that only one is displaced to higher frequencies.

The absorption maxima of the conjugated chelate form of ethyl cyclopentanone-2-carboxylate (IIa) at 1656 and 1618 cm.⁻¹ are weak, whereas the corresponding bands for the hexanone homolog (II) are strong. This indication that there is less enolization in the five-membered ring is a substantiation of earlier chemical¹⁶ and physical data¹⁷ supporting the same conclusion. It is fortified further by the observation that the other enolizable cyclopentanones (IVa, VIa) have consistently weaker absorption bands in the conjugated chelate region than the corresponding cyclohexanones (IV, VI). One reason the degree of enolization is less in the five- than in the six-membered ring β -ketoesters may be seen by reference to structure XIV. This structure and a similar one for the cyclohexanone are likely intermediates to enolization. Thus, the smaller polarity of the cyclopentanone structure decreases the enolization. Probably a more important factor is that introduction of the $C = \hat{C}$ bond in the cyclopentane ring requires more bond angle distortion than in cyclohexane, so that enolization is energetically less favored in the cyclopentanones.11,18

Experimental

The infrared spectra were obtained with a Perkin-Elmer Model 12B automatic recording infrared spectrometer equipped with rock salt optics. An internal calibration was provided in each spectrum by the 3 and 6 μ atmospheric water vapor absorption. The sharpness of the bands was the main factor limiting accuracy. The estimated error of the data in Table I for the 6 μ region is ± 3 cm.⁻¹ except for the broader or weaker bands where it is ± 5 cm.⁻¹; and in the 3 μ region it is ± 10 cm.⁻¹.

⁽¹⁵⁾ A. D. Walsh, Trans. Faraday Soc., 43, 158 (1947).

<sup>i16) (a) V. Grignard and H. Blanchon, Bull. soc. chim., [4] 49, 23 (1931); (b) C. Mannich and V. H. Hâncu, Ber., 41, 564 (1908);
fc) W. Dieckmann,</sup> *ibid.*, 55, 2470 (1922).

⁽¹⁷⁾ Buu-Hoï and P. Cagniant, Bull. soc. chim., 10, 251 (1943).

⁽¹⁸⁾ E. A. Braude and W. F. Forbes, J. Chem. Soc., 1755 (1951).

The samples² were redistilled prior to being observed as the pure liquids at room temperature. The reported relative intensities are based on the apparent per cent. transmission at a constant sample thickness of 0.025 mm.

Thinner samples were used occasionally to resolve the keto and ester C=O absorption bands.

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2-Neopentyl-1,3-butadiene. Preparation and Proof of Structure¹

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The isomeric nonenyl acetates obtained by the condensation of diisobutylene, paraformaldehyde and glacial acetic acid when pyrolyzed yield 2-neopentyl-1,3-butadiene, diisobutylene, acetic acid and formaldehyde. The structure of 2-neopentyl-1,3-butadiene was established. Its formation of an adduct with maleic anhydride, which on aromatization and degradation gave trimellitic acid, demonstrated the presence of one alkyl group in the 2-position of the 1,3-butadiene chain. Oxidation of the diene to t-butylacetic acid showed that the alkyl group is a neopentyl group.

Recent studies in this Laboratory of the condensation of olefins with paraformaldehyde and glacial acetic acid had shown that the principal products are acetates of unsaturated alcohols having one more carbon atom than the original olefins and having the same degree of unsaturation. The structure of individual isomers in the mixture of acetates obtained from commercial diisobutylene (80% 2,4,4-trimethyl-1-pentene and 20% 2,4,4-trimethyl-2-pentene) has been studied by means of ozonolysis and the isomeric acetates I and II are believed to be present.³



The structures of I and II indicate that they are both formed from the major isomer of diisobutylene. Since the condensation of pure 2,4,4-trimethyl-2-pentene with paraformaldehyde and glacial acetic acid has been shown to produce a nonenyl acetate,4 an isomer such as III may be assumed to be present in the mixture of isomeric acetates pre-pared from diisobutylene. The compounds I, II and III each contain the --CH=-CH--CH₂OAc group)

$$CH_{3} CH_{2} CH_{2}$$

$$CH_{3} CH_{2} CH_{2} CH_{2} CH_{3} CH_{2} CH_{3}$$

$$CH_{3} CH_{2} OAc$$

$$III$$

and, accordingly, pyrolysis of the mixture of nonenyl acetates should yield a mixture of alkyl substituted 1,3-butadienes.

Pyrolysis at 475° of the nonenyl acetate fraction of b.p. $78-85.5^{\circ}$ (10 mm.) and $n^{20}D$ 1.442-1.444 gave a mixture of 2-neopentyl-1,3-butadiene (IV), diisobutylene, acetic acid, paraformaldehyde and unpyrolyzed nonenyl acetate. Repyrolysis of the recovered nonenyl acetate under the same conditions produced IV and acetic acid with only traces of diisobutylene and paraformaldehyde. This indicated that the more thermolabile nonenyl acetates responsible for the production of diisobutylene and formaldehyde were almost completely decomposed in the first pyrolysis.

The structure of IV was established by the series of reactions shown in the chart. Reaction of the diene (IV) with maleic anhydride in benzene solution gave 4-neopentyl-4-cyclohexene-1,2-dicarboxylic anhydride (V). Aromatization of V, carried out with a 30% palladium-on-norit catalyst⁵ by the method of Fieser⁶ or by heating with sulfur at 200-250°, produced VI. Oxidation of the sodium salt of VII, obtained from VI, by refluxing with an excess of aqueous potassium permanganate failed to give trimellitic acid (XII) but produced instead the stable intermediate oxidation product pivalophenone-3,4-dicarboxylic acid (VIII).

The possibility of establishing the position and structure of the acyl group in VIII by means of a Beckmann rearrangement of the oxime of VIII was investigated. The keto acid (VIII) was converted to the N-phenyl imide (IX) by a modification of the method of Tingle and Cram⁷ in order to simplify the preparation of an oxime. The oxime X was prepared by a standard method.⁸ In studying the Beckmann rearrangement of pivalophenone ketoxime Schroeter⁹ observed that treatment with phosphorus pentachloride gave benzonitrile. However, treatment of the oxime with anhydrous hydrogen chloride in acetic acid effected rearrangement to trimethylacetanilide. When Schroeter's anhy-

⁽¹⁾ The work reported here was done as part of a research project at the Baker Laboratory of Chemistry, Cornell University, sponsored by the B. F. Goodrich Company.

⁽²⁾ Abstracted from the dissertation submitted by Jerome C. Westfahl in September, 1950, to the Graduate Faculty of Cornell University in partial fulfillment of the requirements for the degree of Doctor of Philosophy.

⁽³⁾ A. T. Blomquist, L. I. Diuguid, M. Passer and C. S. Schollenberger, unpublished; M. Passer, Thesis, Cornell University, 1948; C. S. Schollenberger, Thesis, Cornell University, 1947.

⁽⁴⁾ Private communication from Dr. Fred T. Fiedorek, Research Center, The B. F. Goodrich Co., Brecksville, Ohio.

⁽⁵⁾ R. P. Linstead and S. L. C. Thomas, J. Chem. Soc., 1127 (1940). (6) L. F. Fieser, "Experiments in Organic Chemistry," 2nd Ed.,

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⁽⁷⁾ J. B. Tingle and M. P. Cram, Am. Chem. J., 37, 596 (1907).

 ⁽⁸⁾ S. M. McElvain, "The Characterization of Organic Com-pounds," The Macmillan Co., New York, N. Y., 1945, p. 198. (9) G. Schroeter, Ber., 44, 1201 (1911).